

National Incident Management System

NIMS Implementation and Compliance



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NATIONAL INCIDENT MANAGEMENT SYSTEM

While most emergency situations are handled locally, when there's a major incident help may be needed from other jurisdictions, the state and the federal government. NIMS was developed so responders from different jurisdictions and disciplines can work together better to respond to natural disasters and emergencies, including acts of terrorism. NIMS benefits include a unified approach to incident management; standard command and management structures; and emphasis on preparedness, mutual aid and resource management.



NIMS Implementation and Compliance



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Overview

- NIMS Compliance Background
- FY 2007 NIMS Implementation Matrix (Required Compliance Actions)
- FY 2007 NIMS Compliance Metrics
- NIMS Compliance: A Phased Approach



NIMS Implementation: Key Concepts

- NIMS implementation is more than adoption of ICS by State, local community, agency, or hospital
- NIMS implementation is more than having personnel complete a one-hour [online] training session on NIMS
- NIMS implementation establishes comprehensive system improving how the nation responds to emergency incidents



NIMS Implementation: Key Concepts

How do we integrate NIMS?

- Develop standardized organizational systems, using common terminology during a disaster
- Link communications system
- Train and Exercise together
- Establish common resource base for incident response



NIMS Compliance Background

- All State, local and tribal jurisdictions receiving Federal Preparedness Assistance are required to comply with the NIMS
 - Grants, cooperative agreements, and direct contracts
- FYs 2005-2006:
 - Self-certification for NIMS compliance
 - Initial measures have been reached and “good faith” efforts are underway to comply
 - FY 2006 Implementation Matrices for State/Territorial, tribal/local:
 - Actions required for compliance
 - Guidance and technical assistance resources
 - Future activities



NIMS Compliance Summary

- **FYs 2005-2006:** 34 on-going NIMS implementation activities prescribed by the NIMS Integration Center
- **FY 2007:** Seven new activities for States and territories; Six new activities for tribal entities and local jurisdictions
- All jurisdictions should remember that implementation activities from previous fiscal years remain on-going commitments
- All jurisdictions must continue to support all implementation activities to achieve NIMS compliance



NIMS Compliance—GOOD NEWS!

- Most jurisdictions, hospitals, emergency management disciplines (police departments, fire departments, public works departments) already implement many of the NIMS compliance activities as part of their daily emergency management practices



FY 2007: NIMS Compliance Transition

- Transition in FY 2007 towards performance-based metrics
 - Metrics based on compliance requirement statements (matrix)
- FY 2007 NIMS Compliance
 - Letter to Governors identifies implementation requirements and compliance metrics in October 2006
 - Compliance Metrics incorporated into NIMSCAST
 - DHS Office of Grants & Training conducts NIMS monitoring
 - Technical Assistance from all FEMA Regions



FY 2007 Compliance Package for State/Territory and Tribal/Local

- Letter to the Governors
- NIMS Implementation Matrices
 - requirements for State/Local
 - requirements for Tribal/Local
- NIMS Implementation Metrics
 - Tier 1
 - Tier 2
- http://www.fema.gov/emergency/nims/nims_compliance.shtm

NIMS Compliance Metrics Goals

- Gather information on current state of NIMS compliance
 - Measures performance of NIMS implementation activities
 - Helps determine appropriate compliance targets
- Compile best practices and identify compliance shortfalls
- Provide continued guidance and feedback for increased NIMS compliance
- Assist refinement of metrics and NIMSCAST

NIMS Compliance Metrics Tiers

- **Tier 1 Metrics:**

- Required for FY 2007 NIMS Compliance
- Jurisdictions must record affirmative answers
- Mechanism to measure performance
- Establish targets for FY 2008
- FY 2008: FEMA G&T validates responses for Tier 1 metrics

- **Tier 2 Metrics:**

- These questions monitor ongoing (FYs 2006-2007) NIMS implementation activities,
- Jurisdictions are not required to record an affirmative answer to achieve FY 2007 Compliance
- Answers to these questions must be submitted
- Inform the NIC on successes, gaps, and shortfalls
- Establish targets for FY 2008 (potentially)



NIMS Compliance: A Phased Approach

- Information collected in FY 2007 continues development of performance-based NIMS compliance; helps set compliance targets
- Once targets set, metrics can be used to measure performance against these targets
- As NIMS becomes fully incorporated into incident management, the content of the assessments will change
 - Shift from measuring adoption of the NIMS to measuring effectiveness of implementation
 - Assessments should be tailored to meet the compliance goals set for each successive year



NIMS Compliance Summary

- Future refinement of the NIMS will evolve as policy and technical issues are further developed and clarified.
- Additional requirements may be issued by the NIMS Integration Center as to what will constitute NIMS compliance in FY 2008 and beyond.
- With completion of FY 2007 activities, State, territorial, tribal, and local jurisdictions will have the foundational support for future NIMS implementation and compliance.
- **The effective and consistent implementation of the NIMS nationwide will result in a strengthened national capability to prevent, prepare for, respond to and recover from any type of incident.**



New NIMS Training



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Emergency Management Institute (EMI) and National Fire Academy

- Offers NIMS training necessary for NIMS performance and compliance.
- Courses are primarily targeted towards emergency management officials and emergency responders.
- Training is available to Federal, State, Tribal, and private sector agencies, and jurisdictions. (the public may participate in certain courses)



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IS-701 Multi-Agency Coordination System (MACS)

Suggested Training Offering

- **NIMS Alert** #021-06, Dated December 7, 2006
- Describes the components of a multi-agency coordination system and establish relationships between all elements of the system
- Target audience: Federal, state, local and tribal emergency managers; first responders to include incident commanders from all emergency management disciplines; private industry personnel responsible for coordination activities during a disaster; and Voluntary Organizations Active in Disaster (VOAD) personnel

IS-702 Public Information System

Suggested Training Offering

- Designed to effectively manage and coordinate public information at an incident
- Facilitates the application of NIMS public information system and protocols
- Intended for local and State Public Information Officers (PIO).

IS-703 Resource Management

Suggested Training Offering

- Provides tools, processes and systems for timely and efficient resource management
- The course focuses upon the following:
 - Establishing systems for describing, inventorying, requesting, and tracking resources
 - Activating the system prior to an incident
 - Dispatching resources prior to and during an incident
 - Deactivating or recalling resources during or after incidents

IS-706 NIMS Intrastate Mutual Aid - An Introduction

- This course provides an introduction to NIMS intrastate mutual aid and assistance. You will learn about the purpose and benefits of mutual aid and assistance. You will also learn about the emphasis that NIMS places on mutual aid and assistance. The course explains how to develop mutual aid and assistance agreements and mutual aid operational plans.
- Describe the purpose, benefits, and uses of mutual aid and assistance.
- Explain how mutual aid and assistance agreements relate to NIMS.
- Identify what information should be included in a mutual aid and assistance agreement.
- Explain the process for developing mutual aid and assistance agreements.
- Identify the elements of a mutual aid and assistance operational plan.



Performance Measuring

- The NIC is moving towards performance measurements in our training requirements as well as other NIMS requirements
- FY07 State and local NIMS implementation activities will be based on metrics vice self-certification
- Presently pilot testing 9 ICS Command and General staff position specific training courses that will be based on performance based all-hazard core competencies



Current Activities

ICS Position-specific Training for Command and General Staff Positions:

- Pilot testing was done at NETC last fall (9 Command and General Staff positions)
- A second round of pilot testing will be done during the second quarter of FY 2007.
- After the second round of pilots are completed, a release will be made to utilize all training delivery systems
- All-Hazard Core competencies for each ICS position have been developed and are posted online for viewing.
- Competencies were developed and involved many training partners at the state and federal level to including EMI, USFA, USCG, EPA, and NWCG.



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NIMS Integration Center

FUTURE ACTIVITIES

- **POSITION SPECIFIC TRAINING**
 - **INCIDENT COMMANDER, PIO, SAFETY OFFICER, OPERATIONS OFFICER, PLANS CHIEF, LOGISTICS CHIEF, FINANCE/ADMIN**
- **INCIDENT MANAGEMENT TEAM TRAINING (IMT 3)**
- **INCIDENT RESOURCE INVENTORY SYSTEM (IRIS)**
- **CONTINUITY OF OPERATIONS WORKSHOPS**
- **CREDENTIALING SYSTEM**
- **HOMELAND SECURITY EXERCISE AND EVALUATION PROGRAM (HSEEP)**



Upcoming Events:

NIMS-NIC TRAINING PLAN

- The NIC has started to processes necessary to developing a 5-year NIMS training Plan to assist all training partners.
- Contract with the Homeland Security Institute has been established.
- This will involve an initial 3 month effort with a completion date of June 2007
- A Training Focus group will be established to assist in this effort.
- The NIC will also solicit input from both the FEMA Regional, Federal and State training partners.



CONTACT INFORMATION

- WEBSITE:

- <http://www.fema.gov/emergency/nims/index.shtm>
- <http://training.fema.gov/EMIWeb/priv/courses.htm>

- Training Resources:

- <http://training.fema.gov/>

- State of Florida

- Mr. David Halstead – David.Halstead@em.myflorida.com – 850-410-1597
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- Region IV:

- Mr. Shelley Boone – Shelley.Boone@dhs.gov – 229-225-4572
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Questions????



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